

Financial and legal aspects

European Commission, DG INFSO
GEANT & e-Infrastructures Unit



e-infrastructure

INFORMATION EVENT ON THE e-INFRASTRUCTURE 7TH CALL
BRUSSELS, 18 June 2009



European Commission
Information Society and Media

"The views expressed in this presentation are those of the author and do not necessarily reflect the views of the European Commission"

Funding schemes

- **Collaborative Projects (CP)**
 - *Research activities*
 - *Management of the consortium,*
 - *Other activities (dissemination, training,...)*
- **Coordination and Support Actions (CSA)**
Support Measures
 - *Networking*
 - *Coordination or support actions (**CSA-CA** or **CSA-SA**)*
 - *Management of the consortium*
- **Combination of CP and CSA (CP-CSA) - the **I3** model**

Existing Research Infrastructures
Implementation Phase
Preparatory Phase

Not legally binding



Funding Schemes in this call

Combination of CP and CSA (CP-CSA) - the **I3** model

- INFRA-2010-1.2.1: Distributed computing infrastructure (DCI)
- INFRA-2010-1.2.2: Simulation software and services
- INFRA-2010-1.2.3: Virtual Research Communities
- INFRA-2010-2.3.1: First implementation phase of the European High Performance Computing (HPC) service PRACE

Coordination and Support Actions (CSA: CSA-CA or CSA-SA)

- INFRA-2010-3.3: Coordination actions, conferences and studies supporting policy development, including international cooperation, for e-Infrastructures

Not legally binding



CP-CSA - The I3 Model

Activities in an Integrated Infrastructure Initiative (**I3**) must cover (see Work programme):

- **Joint Research** activities (RTD)
- **Networking** activities (COORDINATION)
- Consortium **management** activities (MGMT)
- **Service** activities (OTHER)

Not legally binding



European Commission
Information Society and Media

Beneficiaries

- Minimum **3** from 3 different MS or AC
 - Only for CSA-SA: at least one legal entity
- Legal validation of all beneficiaries
- Ex-ante financial viability checks limited to coordinators and participants requesting > EUR 500.000
- Consortium Agreement is mandatory

Not legally binding



Third Countries

- Organisations from all countries can participate
- Organisations from International Cooperation Partner Countries (ICPC) can receive funding (if necessary to achieve the objectives of the programme)
- Lump sum financing (ICPC)

(ICPC listed under Annex I of the Work Programme)

Not legally binding



European Commission
Information Society and Media

Reimbursement of eligible costs

Principles of non profit and co-financing
(cost > funding)

Beneficiaries report their direct and indirect
(overhead) eligible costs

Single pre-financing at the beginning of the
project + intermediate payment at the end of
each reporting period

Final payment (10% retention) and return of
guarantee (5%) after successful completion

Not legally binding



European Commission
Information Society and Media



Eligible and non-eligible costs

- **Eligible costs**
 - Actual
 - Incurred by the beneficiary
 - Incurred during the duration of the project
 - Determined according the usual accounting and management principles/practices
 - Used solely to achieve project objectives
 - Consistent with principles of economy, efficiency and effectiveness
 - Recorded in the accounts (or the accounts of third parties)
 - Must be indicated in the estimated overall budget
- **Non eligible costs**
- (identifiable indirect taxes including VAT,...)

Direct costs

- **RTD** activities: max **50%** funding of eligible costs except for: Public bodies, Secondary and higher education establishments, Research organisations, SMEs: max **75%**
- **Other** activities: max **100%** including e.g. consortium management, service activities (connectivity: max 50%), networking activities, coordination or support activities

Not legally binding



European Commission
Information Society and Media



Indirect costs

- **Actual** indirect costs (participants may use a simplified method of calculation)
- **Flat-rate** of direct eligible costs excluding subcontracts
 - Normally 20%; except for...
 - Public bodies, sec. and higher education establishments, research organisations and SMEs unable to identify real indirect costs: 60% **for funding scheme with RTD.**

All Coordination and Support activities:

reimbursement of indirect costs limited to 7% of the direct costs (less subcontracting and third party contribution) for all participants

Not legally binding



European Commission
Information Society and Media

CP-CSA reimbursement table

Maximum reimbursement rates of eligible costs	Research and technological development (*)	Support activities	Networking activities	Management of the consortium activities	Other activities
Collaborative project	50% / 75%			100%	100% 50% Connectivity
Coordination and support action		100% 7% indirect	100% 7% indirect		

Not legally binding



CP-CSA budget example

Costs	RTD	Coordination	Management	Other	Total
Personnel	80.000	10.000	5.000	40.000	135.000
Subcontracting	0		2.000	0	2.000
Other direct	20.000	10.000		10.000	40.000
Indirect	60.000	12.000	3.000	30.000	105.000
Total	160.000	32.000	10.000	80.000	282.000
Requested funding	120.000	21.400	10.000	80.000	231.400

Beneficiary under the special transitional flat rate (60%)

Not legally binding



Call in CORDIS page

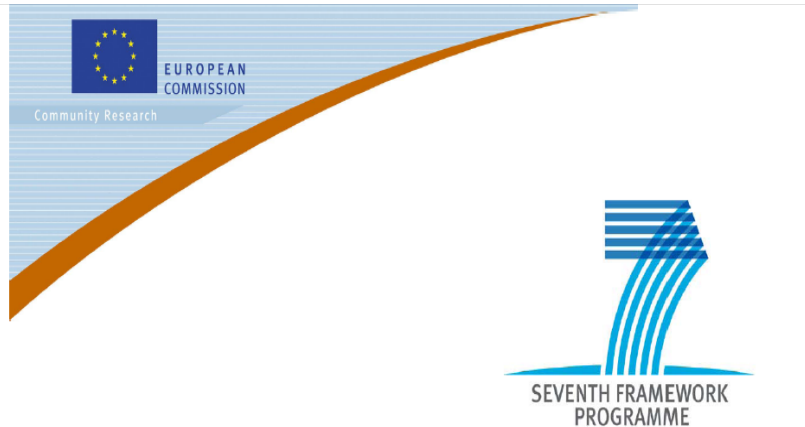
The screenshot shows the CORDIS website interface for the Seventh Research Framework Programme (FP7). The page is titled "Capacities: Call for Tender" and displays details for a specific call: "Research Infrastructures Calls: 2009/S 99-142914". The call title is "Development of a Supercomputing strategy in Europe". Key information includes: Identifier: 2009/S 99-142914, Publication Date: 26 May 2009, Budget: € 300 000, Deadline: 24 June 2009 at 16:00:00 (Brussels local time), Specific Programme(s): Capacities, and Theme: Research Infrastructures. A "Documents:" section lists four files for download: Call Text (Contract Notice), Invitation to Tender, Service Contract, and Tender specifications, each available in English and as a PDF. A note at the bottom states "Documents can be downloaded or sent to you by email." The website header includes the CORDIS logo, navigation menus, and a search bar.

Once published, the call will be accessible from: <http://cordis.europa.eu/fp7/dc/index.cfm>

Not legally binding



Guide to Financial Issues



**Guide to Financial Issues relating to
FP7 Indirect Actions**

Version 02/04/2009

ftp://ftp.cordis.europa.eu/pub/fp7/docs/financialguide_en.pdf

Not legally binding

Contact & Further Information

- Contact
Isabella Saini, Jean-Charles Duchêne
INFSO-RI-CALLS@ec.europa.eu
- Further information
http://cordis.europa.eu/fp7/ict/e-infrastructure/calls_en.html

Not legally binding



European Commission
Information Society and Media