

Financial and legal aspects of e-Infrastructure projects under Call 7

European Commission, DG INFSO
GEANT & e-Infrastructures Unit



e-infrastructure

Global e-Infrastructure Networking Event
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Funding schemes

- **Collaborative Projects (CP)**
 - *Research activities*
 - *Management of the consortium,*
 - *Other activities (dissemination, training,...)*
- **Coordination and Support Actions (CSA)**
Support Measures
 - *Networking*
 - *Coordination or support actions (CSA-CA or CSA-SA)*
 - *Management of the consortium*
- **Combination of CP and CSA (CP-CSA) - the I3 model**

Existing Research Infrastructures
Implementation Phase
Preparatory Phase

Not legally binding



Funding Schemes in Call 7

Combination of CP and CSA (CP-CSA) - the **I3** model

- INFRA-2010-1.2.1: Distributed computing infrastructure (DCI)
- INFRA-2010-1.2.2: Simulation software and services
- INFRA-2010-1.2.3: Virtual Research Communities
- INFRA-2010-2.3.1: First implementation phase of the European High Performance Computing (HPC) service PRACE

Coordination and Support Actions (CSA: CSA-CA or CSA-SA)

- INFRA-2010-3.3: Coordination actions, conferences and studies supporting policy development, including international cooperation, for e-Infrastructures

Not legally binding



CP-CSA - The I3 Model

Activities in an Integrated Infrastructure Initiative (**I3**) must cover (see Work programme):

- **Joint Research** activities (RTD)
- **Networking** activities (COORDINATION)
- Consortium **management** activities (MGMT)
- **Service** activities (OTHER)

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Beneficiaries

- Minimum **3** from 3 different MS or AC
 - Only for CSA-SA: at least one legal entity
- Legal validation of all beneficiaries
- Ex-ante financial viability checks limited to coordinators and participants requesting > EUR 500.000
- Consortium Agreement is mandatory

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Third Countries

- Organisations from all countries can participate
- Organisations from International Cooperation Partner Countries (ICPC) can receive funding (if necessary to achieve the objectives of the programme)
- Lump sum financing (ICPC)

(ICPC listed under Annex I of the Work Programme)

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Reimbursement of eligible costs

Principles of non profit and co-financing
(cost > funding)

Beneficiaries report their direct and indirect
(overhead) eligible costs

Single pre-financing at the beginning of the
project + intermediate payment at the end of
each reporting period

Final payment (10% retention) and return of
guarantee (5%) after successful completion

Eligible and non-eligible costs

- **Eligible costs**
 - Actual
 - Incurred by the beneficiary
 - Incurred during the duration of the project
 - Determined according the usual accounting and management principles/practices
 - Used solely to achieve project objectives
 - Consistent with principles of economy, efficiency and effectiveness
 - Recorded in the accounts (or the accounts of third parties)
 - Must be indicated in the estimated overall budget
- **Non eligible costs**
- (identifiable indirect taxes including VAT,...)



Direct costs

- **RTD** activities: max **50%** funding of eligible costs except for: Public bodies, Secondary and higher education establishments, Research organisations, SMEs: max **75%**
- **Other** activities: max **100%** including e.g. consortium management, service activities (connectivity: max 50%), networking activities, coordination or support activities

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Indirect costs

- **Actual** indirect costs (participants may use a simplified method of calculation)
- **Flat-rate** of direct eligible costs excluding subcontracts
 - Normally 20%; except for...
 - Public bodies, sec. and higher education establishments, research organisations and SMEs unable to identify real indirect costs: 60% **for funding scheme with RTD.**

All Coordination and Support activities:

reimbursement of indirect costs limited to 7% of the direct costs (less subcontracting and third party contribution) for all participants

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CP-CSA reimbursement table

Maximum reimbursement rates of eligible costs	Research and technological development (*)	Support activities	Networking activities	Management of the consortium activities	Other activities
Collaborative project	50% / 75%			100%	100% 50% Connectivity
Coordination and support action		100% 7% indirect	100% 7% indirect		

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CP-CSA budget example

Costs	RTD	Coordination	Management	Other	Total
Personnel	80.000	10.000	5.000	40.000	135.000
Subcontracting	0		2.000	0	2.000
Other direct	20.000	10.000		10.000	40.000
Indirect	60.000	12.000	3.000	30.000	105.000
Total	160.000	32.000	10.000	80.000	282.000
Requested funding	120.000	21.400	10.000	80.000	231.400

Beneficiary under the special transitional flat rate (60%)

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Call page in CORDIS

The screenshot displays the CORDIS website interface for the Seventh Research Framework Programme (FP7). The page title is "Research Infrastructures Calls: 2009/S 99-142914". The main content area includes the following details:

- Development of a Supercomputing strategy in Europe**
- Identifier:** 2009/S 99-142914
- Publication Date:** 26 May 2009
- Budget:** € 300 000
- Deadline:** 24 June 2009 at 16:00:00 (Brussels local time)
- Specific Programme(s):** Capacities
- Theme:** Research Infrastructures

Below the call details, there is a "Documents:" section with a note: "All files have been treated uniformly and compressed to facilitate the access to the individual documents and to optimise the speed of transfer. To access the files, you will need a file compression utility capable of opening the '.zip' format." A table of documents is provided:

Document Title	Language	Format
<input type="checkbox"/> Call Text (Contract Notice)	English	PDF
<input type="checkbox"/> Invitation to Tender	English	PDF
<input type="checkbox"/> Service Contract	English	PDF
<input type="checkbox"/> Tender specifications	English	PDF

At the bottom of the document list, it states: "Documents can be downloaded or sent to you by email."

Once published, the call will be accessible from: <http://cordis.europa.eu/fp7/dc/index.cfm>

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Guide to Financial Issues



EUROPEAN
COMMISSION

Community Research



Guide to Financial Issues relating to FP7 Indirect Actions

Version 02/04/2009

ftp://ftp.cordis.europa.eu/pub/fp7/docs/financialguide_en.pdf

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Contact & Further Information

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- Further information
http://cordis.europa.eu/fp7/ict/e-infrastructure/calls_en.html

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